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Disclosure of Invention and New Technology (Including Software)

Form Approved O.M.B. NO. 2700-0009

DATE

CONTRACTOR CASE NO.

This is	an important legal document. Carefully complete and forward to the Patent Representative
NASA	in-house innovation) or New Technology Representative (contractor/grantee innovation) at
NASA.	Use of this report form by contractor/grantee is optional; however, an alternative format must

NASA CASE NO. (OFFICIAL USE ONLY)

at a minimum contain the information required herein. NASA in-house disclosures should be read, understood and signed by a technically competent witness in the witness signature block at the end of this form. In completing each section, use whatever detail deemed appropriate for a "full and complete disclosure." Contractors/Grantees please refer to the New Technology or Patent Rights – Retention by the Contractor clauses. When necessary, attach additional documentation to provide a full, detailed description.

clauses. When necessary, attach additional documentation to provide a full, detailed description.
I. DESCRIPTIVE TITLE
2. INNOVATOR(S) (For each innovator provide: Name, Title, Work Address, Work Phone Number, and Work E-mail Address. If multiple
innovators, number each to match Box 5.)

	HEN INNOVATION WAS MADE (For each innovator provide: Na. and Contract/Grant Number if applicable. If multiple innovators, num	
4. PLACE OF PERFORMANCE (A	ddress(es) where innovation made)	
5. EMPLOYER STATUS (choose	CODICIN (Check all that apply and provide all applicable much see	of If multiple Contracts/Country at a list
one for each innovator)	6. ORIGIN (Check all that apply and provide all applicable number Contract/Grant Numbers in Box 3 with applicable employer infor	
Innovator #1 Innovator #2	NASA In-house Org. Mail Code	WBS
	Grant/Cooperative Agreement No.	: WBS
	Prime Contract No.	WBS
Innovator #3 Innovator #4	Task No. Report No.	:
	Subcontractor; Subcontract Tier	: WBS
	Joint Effort (contractor, subcontractor and/or grantee	:
GE = Government	contribution(s), and NASA in-house contribution)	<u>:</u>
CU = College or University NP = Non-Profit Organization	Multiple Effort (multiple contractor, subcontractor	:
SB = Small Business Firm	and/or grantee contributions, no NASA in-house contribution) Other (e.g., Space Act Agreement, MOA) No.	: : WBS
LE = Large Entity	outer (e.g., opace rice rigidement, mon) no.	

7. NASA CONTRACTING OFFICER'S TECHNICAL REPRESENTATIVE (COTR)	8. CONTRACTOR/GRANTEE NEW TECHNOLOGY REPRESENTATIVE (POC)
	KEI KESENTATIVE (1 0C)
9. BRIEF ABSTRACT (A general description of the innovation which describes its capability duplication or imitation of the innovation.)	ities, but does not reveal details that would enable

ECTION I – DESCRIPTION OF THE PROBLEM OR OBJECTIVE THAT MOTIVATED THE INNOVATION'S DEVELOPMENT (Enter as appropriate: . – General description of problem/objective; B. – Key or unique problem characteristics; C. – Prior art, i.e., prior techniques, methods, materials, or devices erforming function of the innovation, or previous means for performing function of software; and D. – Disadvantages or limitation of prior art.)

SECTION II – TECHNICALLY COMPLETE AND EASILY UNDERSTANDABLE DESCRIPTION OF INNOVATION DEVELOPED TO SOLVE THE PROBLEM OR MEET THE OBJECTIVE (Enter as appropriate; existing reports, if available, may form a part of the disclosure, and reference thereto can be made to complete this description: A. – Purpose and description of innovation/software; B. – Identification of component parts or steps, and explanation of mode of operation of innovation/software preferably referring to drawings, sketches, photographs, graphs, flow charts, and/or parts or ingredient lists illustrating the components; C. – Functional operation; D. – Alternate embodiments of the innovation/software; E. – Supportive theory; F. – Engineering specifications; G. – Peripheral equipment; and H. – Maintenance, reliability, safety factors.)				

SECTION III – UNIQUE OR NOVEL FEATURES OF THE INNOVATION AND THE RESULTS OR BENEFITS OF ITS APPLICATION (Enter as appropriate: A. – Novel or unique features; B. – Advantages of innovation/software; C. – Development or new conceptual problems; D. – Test data and source of error; E. – Analysis of capabilities; and F. – For software, any re-use or re-engineering of existing code, use of shareware, or use of code owned by a non-federal entity.)

SECTION IV – SPECULATION REGARDING POTENTIAL COMMERCIAL APPLICATIONS AND POINTS OF CONTACT (Including names of companies producing or using similar products.)						
10. ADDITIONAL DOCUM of the innovation (e.g., a manuals, test data, asser	rticles, contractor rep	ports, engineering s		imentation which aids in t facturing drawings, parts		
	TLE	roccam es, erc.y.y			PAGE	DATE
11. DEGREE OF TECHNOLOGY SIGNIFICANCE (Which best expresses the degree of technological significance of this innovation?)						
Modification to I	Existing Technology		Advancement in the Ai			,
12. STATE OF DEVELOPMENT						
Concept Only	Design	Prototype	Modification	Production Model	Used in C	urrent Work
13. PATENT STATUS (Pric		l to this innovation.				
Application Filed	Application No.			Application Date		
Patent Issued	Patent No.]	Issue Date		

14. INDICATE THE DATE OR THE APPROXIMATE TIME PERIOD WHICH THIS INNOVATION WAS DEVELOPED (i.e., conceived, constructed, tested, etc.)
15. PREVIOUS OR CONTEMPLATED PUBLICATION OR PUBLIC DISCLOSURE INCLUDING DATES (Provide as applicable: A. – Type of publication or disclosure, e.g., report, conference or seminar, oral presentation; B. – Disclosure by NASA or Contractor/Grantee; and C. – Title, volume no., page no., and date of publication.)
volume no., page no., and date of publication.)
16. QUESTIONS FOR SOFTWARE ONLY
(a) Using non-NASA employees to beta-test the program? YES NO If Yes, done under a beta-test agreement? YES NO (b) Modification of this program continued by civil servant and/or contractual agreement? YES NO (c) Copyright registered? YES NO UNKNOWN If Yes, then by whom?
(d) Has the latest version been distributed outside of NASA or contractor? YES NO UNKNOWN If Yes, date of first disclosure:
(e) Were prior versions distributed outside of NASA or Contractor? YES NO If Yes, supply NASA or contractor contract:
(f) Contains or based on code not owned by U.S. Government or its contractors? YES NO UNKNOWN If Yes, name of code and code's owner:
Has a license for use been obtained? YES NO UNKNOWN

17. DEVELOPMENT HISTORY					
STAGE OF DEVELOPMENT	DATE (MM/YYYY)	LOCATION	IDENTIFY SUPPORTING WITNESSES (NASA in-house only)		
a. First disclosure to others					
b. First sketch, drawing, logic chart or code					
c. First written description					
d. Completion of first model of full size device (invention) or beta version (software)					
e. First successful operational test (invention) or alpha version (software)					
f. Contribution of innovators (if jointly developed	, provide the contribution	on of each innovator)			

g. Indicate any past, present, or contemplated government use of the innovation				
		/ITNESS(ES), AND NASA APPROVAL	I n . mm	
TYPED NAME AND SIGNATURE (Innovator #1)	DATE	TYPED NAME AND SIGNATURE (Innovator #2)	DATE	
TYPED NAME AND SIGNATURE (Innovator #3)	DATE	TYPED NAME AND SIGNATURE (Innovator #4)	DATE	
TYPED NAME AND SIGNATURE (Witness #1)	DATE	TYPED NAME AND SIGNATURE (Witness #2)	DATE	
NASA TYPED APPROVED NAME	ı	SIGNATURE	DATE	